

## Electronic Transactions Indemnity Form: Individuals/Sole Proprietors Collective Investments (Unit Trusts)

### INVESTOR DETAILS

ENTITY NUMBER	<input type="text"/>
TITLE	<input type="text"/>
SURNAME	<input type="text"/>
FIRST NAME	<input type="text"/>
IDENTITY/PASSPORT NUMBER	<input type="text"/>

**If you are acting on behalf of the investor (e.g. legal guardian or person with power of attorney authorised to act on behalf of the investor), please supply the required FICA documentation.**

### CHANGE OF EMAIL ADDRESS AND CONTACT NUMBERS

EMAIL	<input type="text"/>
CELL NO	<input type="text"/>
TELEPHONE NO	<input type="text"/>

### BANKING DETAILS TO BE LINKED TO THIS INVESTOR FOR E-TRADE \*

Payments to third-party bank accounts are not allowed. Payments can only be paid into an account in the name of the investor.

**It is compulsory to furnish us with banking details.** Use the bank details provided to link to e-trade.

**E-trade** refers to the electronic portal designed to provide Investors with a service to actively manage their portfolios and instruct trades at their discretion. Transactions are executable with a computer or smartphone device and are made quickly with no paperwork required. Typically the user will login to the STANLIB Online website and process transactions on their portfolios. These transactions can be Additional investments, switches and redemptions. This service is appealing to investors as it allows more control and easier transactability over their portfolios. "You may want to link to E-trade so that you can always get a trade in quickly when you need to".

BANK	<input type="text"/>															
COUNTRY	<input type="text"/>															
BRANCH	<input type="text"/>						BRANCH CODE	<input type="text"/>								
ACCOUNT NUMBER	<input type="text"/>															
ACCOUNT TYPE	<input type="checkbox"/>	CHEQUE	<input type="checkbox"/>	SAVINGS	<input type="checkbox"/>	TRANSMISSION										
ACCOUNT HOLDER'S ID NUMBER	<input type="text"/>															
ACCOUNT HOLDER'S NAME	<input type="text"/>															
SIGNATURE OF CLIENT/ AUTHORISED SIGNATORY*	<input type="text"/>						DATE	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
							SIGNED AT	<input type="text"/>								



**FICA UPDATE REQUIREMENT - PHYSICAL ADDRESS \***

COMPLEX / UNIT / BUILDING NUMBER		COMPLEX / BUILDING NAME	
STREET NUMBER		STREET NAME	
SUBURB		CITY	
COUNTRY		POSTAL CODE	

**Acceptable proof of identity:** These are used to verify the identity of the Client. Strictly, only clear, legible copies of identity and other documents will be accepted, the Manager reserves the right to ask for further documentation.

- South African Individual / Sole Proprietor: Copy of Identity Document
- Foreign Individual / Sole Proprietor: Copy of a Valid Passport

**Acceptable proof of residential address:** One of the following documents reflecting name and residential address (must be less than 3 months old) is required for proof of address.

All address verification documents must be valid and reflect the name and the current physical address of the Client (legal property descriptions are also acceptable - e.g. erf/stand numbers).

- Utility bill (must be less than 3 months old, unless otherwise specified)
- Current lease or rental agreement
- Bank statement
- Municipal rates and taxes invoice
- Valid television licence
- Mortgage statement
- Valid motor vehicle licence
- Insurance policy
- Tax return (less than 1 year old)
- Letter from bank manager, medical practitioner, accountant, or attorney, on a formal letterhead, stating that they know the Client for three years and confirming physical address
- Letter on letterhead, signed by board of trustees, directors' etc. confirming physical business address
- Correspondence from a body corporate or shareblock association

Spouse/partner

Any of above documents for spouse, together with marriage certificate or if not available;

Affidavit from person co-habiting with Client, providing:

- Name, identity number and physical residential address of Client and co-habitant
- Relationship between Client and co-habitant
- Confirmation that residential address is shared

Parent:

- Any of above documents for parent
- Must be accompanied by the child's birth certificate (for a minor)

**INVESTOR DECLARATION**

1. I warrant that all information provided by me in this form and all other applicable documents to this instruction are true and correct in every respect.
2. I acknowledge that STANLIB reserves the right to refuse payment into any bank account other than the bank account of the investor .
3. I will assume personal liability for any claim, loss and/or damage of whatever nature which STANLIB may suffer as a result of this instruction.
4. I hereby indemnify and do not hold STANLIB responsible for any loss, damage, fines, taxes, penalties or claims whatsoever or howsoever arising from or connected with the payment by STANLIB to any person or entity in respect of the above investment which may arise as a result of my request.
5. STANLIB will not be liable for any damages or losses of whatsoever nature arising out of STANLIB's failure to action this instruction due to occurrences beyond the control of STANLIB.
6. I confirm that the monies is not derived from the proceeds of any unlawful activities as defined and contemplated in the Prevention of Organised Crime Act, (Act No. 121 of 1998) ("POCA").
7. Electronic Transactions: the Client agrees that the Manager shall be entitled to implement all instructions and applications of whatever nature received on their Internet site, by telephone, by fax or any other electronic medium and which appear to emanate from the Client. The Manager and Financial Advisor is indemnified against any losses, claims or damages arising from acting on such instructions and/or applications, notwithstanding that it may later be proved that any such instruction was not given by the Client. The Client agrees that the electronic records of all instructions and applications processed by/or on behalf of him/her or which purport to be processed on behalf of the Client via the Manager's Internet site, telefax, telephone or any other electronic medium shall constitute prima facie proof of the contents of such instructions and applications.
8. I agree that I can capture an instruction directly using my login details on STANLIB's online system and that this instruction will be in accordance with the contents of the Electronic Transactions clause stated in point 7.
9. I agree that my financial adviser can capture an instruction on my investment subject to my approval of the sms that is sent by STANLIB. This approval will be in accordance with the contents of the Electronic Transactions clause stated in point 7.

SIGNATURE OF INVESTOR / AUTHORISED PERSON

DATE

D	D	-	M	M	-	Y	Y

**I confirm that the above form has been signed by the client agreeing to the Terms and Conditions of this form**

SIGNATURE OF FINANCIAL ADVISER

DATE

D	D	-	M	M	-	Y	Y

**Office use only:**

Authorised signature:

Name and Date:

STANLIB is a member of the Liberty Group of companies. Liberty is a full member of the Association for Savings & Investments South Africa (ASISA).

STANLIB Collective Investments (RF) Proprietary Limited Reg. No. 1969/003468/07 is an authorised administrative FSP (FSP Number 590) and is the administrator of your investment.

